

Risk Types
 Strategic - large scale changes in direction
 Financial - extra costs/loss of revenue
 Operational - technical/people/process failures
 Reputational - partners/customers/staff
 Compliance - grants/legislation/data protection

Impact
 5 - Critical impact on the achievement of objectives and overall project.
 4 - Major impact on costs and objectives. Medium to long term effect and expensive to recover.
 3 - Significant impact on time, resources, outputs. Medium term effect, expensive to recover.
 2 - Minor loss, inconvenience. Short to medium term effect.
 1 - Minimal loss, delay or interruption. Easily remedied

Likelihood
 5 - Almost certain to happen
 4 - More likely to occur than not
 3 - As likely to happen as not
 2 - Low but not impossible to occur
 1 - Extremely unlikely to happen

Risk Register

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|-----------------|------------------------------|
| Project Title: | Active Preston LUF - Round 2 |
| Project Number: | 1 PARKS PROJECT |

| Risk ID | Risk Type | Risk Description | Original Scores | | | Risk response and mitigating actions | Mitigated Scores | | | | Risk Status | Owner | Comments / Progress |
|---------|--------------|--|-----------------|------------|-------|--|------------------|---------------------|----------------|--------|-------------|-----------------|---------------------|
| | | | Impact | Likelihood | Score | | Residual Impact | Residual Likelihood | Residual Score | Rating | | | |
| R001 | Strategic | Political support from Preston City Council | 4 | 3 | 12 | Briefings with Members undertaken | 4 | 1 | 4 | Low | Open | Project Manager | |
| R002 | Strategic | Public engagement / consultation | 4 | 2 | 8 | Engagement would be undertaken with the public and various groups / organisations. | 2 | 2 | 4 | Low | Open | Project Manager | |
| R003 | Strategic | Certainty of information | 3 | 3 | 9 | Limited information available during bid stage (no topographical, traffic, drainage or earthworks surveys available) however reasonable assumptions have been made and risks have been quantified. | 2 | 2 | 4 | Low | Open | Project Manager | |
| R004 | Strategic | Political support in light of public representations. | 1 | 1 | 1 | Briefings underway for stakeholders | 1 | 1 | 1 | Low | Open | Project Manager | |
| R005 | Strategic | Authority conflict -political or policy conflict- delay/cost | 1 | 2 | 2 | DELAY / Agree lead authority/agree resolution process in advance | 1 | 1 | 1 | Low | Open | Project Manager | |
| R006 | Strategic | Political/administration change - decision/policy impact | 1 | 2 | 2 | DELAY / Review programme risk | 1 | 1 | 1 | Low | Open | Project Manager | |
| R007 | Strategic | Decision making - failure to agree - delay/cost/scope | 2 | 2 | 4 | DELAY/COST / Agree scope early/Agree ways of working together and joint approach | 2 | 1 | 2 | Low | Open | Project Manager | |
| R008 | Financial | Failure to monitor contractor resulting in project overspend | 3 | 2 | 6 | Monthly contract monitoring, budget monitoring, project management procedures | 3 | 1 | 3 | Low | Open | Project Manager | |
| R009 | Financial | Loss of contractor due to bankruptcy/financial difficulties | 5 | 2 | 10 | Performance bond in place, stage payment of contract, retention, contractors appointed through 'The Chest' procurement portal | 5 | 1 | 5 | Medium | Open | Project Manager | |
| R010 | Financial | Failure to carry out increased management and maintenance after project completion | 3 | 2 | 6 | Increase in operational resources to be deployed on Moor Park and monitored by Parks Management | 3 | 1 | 3 | Low | Open | Project Manager | |
| R011 | Financial | Utility diversions / unchartered services | 4 | 2 | 8 | Utility enquiries and consultation to be carried out as part of the design process. | 4 | 1 | 4 | Low | Open | Project Manager | |
| R012 | Financial | Material availability | 3 | 3 | 9 | Consideration of alternative materials. Environmental impact and supply chain issues are reduced. | 2 | 2 | 4 | Low | Open | Project Manager | |
| R013 | Financial | Inflation - increased cost during project | 3 | 4 | 12 | COST / Review risk cost/include for inflation/consider options for value engineering | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R014 | Financial | Market volatility - predictability of cost/estimate | 3 | 3 | 9 | COST / Review risk cost/include for inflation/consider options for value engineering | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R015 | Financial | Funding availability - failure to gain sufficient | 2 | 3 | 6 | COST / Consider reduced scope option | 3 | 1 | 3 | Low | Open | Project Manager | |
| R016 | Financial | Increase maintenance costs over projection | 3 | 3 | 9 | COST / DESIGN LIFE / Clear brief / Comply with standards | 3 | 1 | 3 | Low | Open | Project Manager | |
| R017 | Compliance | Planning consent for Ashton and Waverley Parks | 3 | 3 | 9 | Early discussions with planning officers on a clear planning strategy and at pre application stage. | 2 | 2 | 4 | Low | Open | Project Manager | |
| R018 | Compliance | Inadequate health and safety procedures resulting in injury to public or contractor | 5 | 2 | 10 | CDM 'Principal Designer' appointed to ensure compliance with health and safety legislation | 5 | 1 | 5 | Medium | Open | Project Manager | |
| R019 | Compliance | Delivery within funding deadlines | 3 | 3 | 9 | Delivery plan identified for both design and construction. | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R020 | Compliance | Issues associated with other funding bodies offering Match funding | 4 | 3 | 12 | Regular Engagement with other funding bodies | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R021 | Compliance | Environmental constraint reduces options/programme/cost (Including Environment Agency requirements) | 3 | 3 | 9 | COST - review environmental design requirements | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R022 | Compliance | Procurement choice design - time and programme | 2 | 2 | 4 | Procurement choice design - time and programme | 2 | 1 | 2 | Low | Open | Project Manager | |
| R023 | Compliance | Legal compliance - potential delay in noticing or challenge | 2 | 2 | 4 | DELAY / Review programme risk/liase with legal and estates | 2 | 1 | 2 | Low | Open | Project Manager | |
| R024 | Compliance | LUF Time frame - reduced options/cost/over-run | 3 | 2 | 6 | COST / Review procurement options/consider partnering framework | 3 | 1 | 3 | Low | Open | Project Manager | |
| R025 | Operational | Design and construction capacity / technical expertise | 4 | 3 | 12 | Early engagement undertaken with Design Consultants | 4 | 1 | 4 | Low | Open | Project Manager | |
| R026 | Operational | Interface with authorities and land owners | 4 | 3 | 12 | The only land owner interface is with the Highway Authority who have assisted in developing, and support the proposals. | 4 | 1 | 4 | Low | Open | Project Manager | |
| R027 | Operational | Site constraints - site access, traffic management, interface with businesses, properties and rights of access | 3 | 4 | 12 | Careful coordination between contractor and local businesses will be required. | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R028 | Operational | Environmental timeframe - affects delivery | 3 | 3 | 9 | PROGRAMME / Consider environmental timeframe in planning/reduce options to least risk | 3 | 2 | 6 | Medium | Open | Project Manager | |
| R029 | Operational | Utilities and services protection / diversion work unclear | 2 | 2 | 4 | NRSWA process once bid position clear / avoid interface where possible | 2 | 1 | 2 | Low | Open | Project Manager | |
| R030 | Operational | Temporary access for construction | 4 | 4 | 16 | DELAY / early negotiation/occupy land belonging to public bodies only / issue notice under highway's act | 4 | 2 | 8 | Medium | Open | Project Manager | |
| R031 | Operational | Technical complexity - cost /programme | 2 | 2 | 4 | COST / Review design | 2 | 1 | 2 | Low | Open | Project Manager | |
| R032 | Operational | Contractor availability | 2 | 2 | 4 | DELAY / COST / avoid novel or high risk construction | 2 | 1 | 2 | Low | Open | Project Manager | |
| R033 | Operational | Safety risk - technical complexity | 2 | 2 | 4 | COST/DELAY / Review design | 1 | 1 | 1 | Low | Open | Project Manager | |
| R034 | Operational | Material availability risk | 3 | 2 | 6 | DELAY / Consider during design/avoid novel design | 3 | 1 | 3 | Low | Open | Project Manager | |
| R035 | Operational | Resource availability - contractor | 2 | 2 | 4 | COST / Review risk cost/ partnering framework(LRPP) to be used | 2 | 1 | 2 | Low | Open | Project Manager | |
| R036 | Operational | Technology change - not meeting long term requirements. | 1 | 1 | 1 | COST / DESIGN LIFE / Consider impact of unknown or boundary technology proposed / Comply with standards | 1 | 1 | 1 | Low | Open | Project Manager | |
| R037 | Operational | Timing of works - inclement weather affecting certain works. Seasonality of works such as pitch drainage to be fitted within a short contract period | 4 | 4 | 16 | PROGRAMME / Consider environmental timeframe in planning/reduce options to least risk | 4 | 3 | 12 | High | Open | Project Manager | |
| R038 | Reputational | Failure to increase community use of the park | 3 | 2 | 6 | Activity plan to be developed | 3 | 1 | 3 | Low | Open | Project Manager | |
| R039 | Reputational | Failure to deliver community aspirations with project | 3 | 2 | 6 | Detailed community consultation and communications plan | 3 | 1 | 3 | Low | Open | Project Manager | |